



LEA 02		Discretionary Mandate	
<p>By default, assets for which we have no responsibility or oversight for are not subject to our engagement process. However, if our own engagement activities do not result in the necessary change in practice or policy, we may consider the use of our discretionary mandate to engage with the relevant company.</p>		<p>Reason for Interaction</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> To support investment decision-making in a company's ESG issues <input checked="" type="checkbox"/> To influence corporate practice or identify the need for additional or enhanced ESG disclosure <input type="checkbox"/> To engage internal staff <input type="checkbox"/> To support investment decision-making in a company's ESG issues <input type="checkbox"/> To influence corporate practice or identify the need for additional or enhanced ESG disclosure 	
<p>Type of engagement</p> <p>Individual/ internal staff engagements</p>		<p>Collaborative engagements</p>	
<p>Service provider engagements</p>		<p>Reason for Interaction</p> <ul style="list-style-type: none"> <input type="checkbox"/> To support investment decision-making in a company's ESG issues <input type="checkbox"/> To influence corporate practice or identify the need for additional or enhanced ESG disclosure <input type="checkbox"/> To engage internal staff <input type="checkbox"/> To support investment decision-making in a company's ESG issues <input type="checkbox"/> To influence corporate practice or identify the need for additional or enhanced ESG disclosure 	

RI TRANSPARENCY REPORT

2020

PT Batavia Prosperindo Aset Manajemen

About this report

The PRI Reporting Framework is a key step in the journey towards building a common language and industry standard for reporting responsible investment (RI) activities. This RI Transparency Report is one of the key outputs of this Framework. Its primary objective is to enable signatory transparency on RI activities and facilitate dialogue between investors and their clients, beneficiaries and other stakeholders. A copy of this report will be publicly disclosed for all reporting signatories on the [PRI website](#), ensuring accountability of the PRI Initiative and its signatories.

This report is an export of the individual Signatory organisation's response to the PRI during the 2020 reporting cycle. It includes their responses to mandatory indicators, as well as responses to voluntary indicators the signatory has agreed to make public. The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information. As a result, the reports can be extensive. However, to help easily locate information, there is a **Principles index** which highlights where the information can be found and summarises the indicators that signatories complete and disclose.

Understanding the Principles Index

The Principles Index summarises the response status for the individual indicators and modules and shows how these relate to the six [Principles for Responsible Investment](#). It can be used by stakeholders as an 'at-a-glance' summary of reported information and to identify particular themes or areas of interest.

Indicators can refer to one or more Principles. Some indicators are not specific to any Principle. These are highlighted in the 'General' column. When multiple Principles are covered across numerous indicators, in order to avoid repetition, only the main Principle covered is highlighted.

All indicators within a module are presented below. The status of indicators is shown with the following symbols:

Symbol	Status
✓	The signatory has completed all mandatory parts of this indicator
☑	The signatory has completed some parts of this indicator
🔒	This indicator was not relevant for this signatory
-	The signatory did not complete any part of this indicator
Ⓜ	The signatory has flagged this indicator for internal review

Within the table, indicators marked in blue are mandatory to complete. Indicators marked in grey are voluntary to complete.

Principles Index

Organisational Overview				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
OO TG		🔒	n/a							
OO 01	Signatory category and services	✓	Public							✓
OO 02	Headquarters and operational countries	✓	Public							✓
OO 03	Subsidiaries that are separate PRI signatories	✓	Public							✓
OO 04	Reporting year and AUM	✓	Public							✓
OO 05	Breakdown of AUM by asset class	✓	Asset mix disclosed in OO 06							✓
OO 06	How would you like to disclose your asset class mix	✓	Public							✓
OO 07	Fixed income AUM breakdown	✓	Private							✓
OO 08	Segregated mandates or pooled funds	🔒	n/a							✓
OO 09	Breakdown of AUM by market	✓	Public							✓
OO 10	Active ownership practices for listed assets	✓	Public							✓
OO 11	ESG incorporation practices for all assets	✓	Public							✓
OO 12	Modules and sections required to complete	✓	Public							✓
OO LE 01	Breakdown of listed equity investments by passive and active strategies	✓	Public							✓
OO LE 02	Reporting on strategies that are <10% of actively managed listed equities	✓	Private							✓
OO FI 01	Breakdown of fixed income investments by passive and active strategies	✓	Public							✓
OO FI 02	Reporting on strategies that are <10% of actively managed fixed income	🔒	n/a							✓
OO FI 03	Fixed income breakdown by market and credit quality	✓	Public							✓
OO SAM 01	Breakdown of externally managed investments by passive and active strategies	🔒	n/a							✓
OO PE 01	Breakdown of private equity investments by strategy	🔒	n/a							✓
OO PE 02	Typical level of ownership in private equity investments	🔒	n/a							✓
OO PR 01	Breakdown of property investments	🔒	n/a							✓
OO PR 02	Breakdown of property assets by management	🔒	n/a							✓
OO PR 03	Largest property types	🔒	n/a							✓
OO INF 01	Breakdown of infrastructure investments	🔒	n/a							✓
OO INF 02	Breakdown of infrastructure assets by management	🔒	n/a							✓
OO INF 03	Largest infrastructure sectors	🔒	n/a							✓
OO HF 01	Breakdown of hedge funds investments by strategies	🔒	n/a							✓
OO Checks	Requirement Validation Checks	-	n/a							
OO End	Module confirmation page	✓	-							

CCStrategy and Governance				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
SG 01	RI policy and coverage	✓	Public							✓
SG 01 CC	Climate risk	✓	Private							✓
SG 02	Publicly available RI policy or guidance documents	✓	Public						✓	
SG 03	Conflicts of interest	✓	Public							✓
SG 04	Identifying incidents occurring within portfolios	-	n/a							✓
SG 05	RI goals and objectives	✓	Public							✓
SG 06	Main goals/objectives this year	-	n/a							✓
SG 07	RI roles and responsibilities	✓	Public							✓
SG 07 CC	Climate-issues roles and responsibilities	✓	Private							✓
SG 08	RI in performance management, reward and/or personal development	-	n/a							✓
SG 09	Collaborative organisations / initiatives	✓	Public				✓	✓		
SG 09.2	Assets managed by PRI signatories	🔒	n/a	✓						
SG 10	Promoting RI independently	✓	Public				✓			
SG 11	Dialogue with public policy makers or standard setters	-	n/a				✓	✓	✓	
SG 12	Role of investment consultants/fiduciary managers	✓	Public				✓			
SG 13	ESG issues in strategic asset allocation	✓	Public	✓						
SG 13 CC		🔒	n/a							✓
SG 14	Long term investment risks and opportunity	✓	Private	✓						
SG 14 CC		-	n/a							✓
SG 15	Allocation of assets to environmental and social themed areas	✓	Private	✓						
SG 16	ESG issues for internally managed assets not reported in framework	🔒	n/a							✓
SG 17	ESG issues for externally managed assets not reported in framework	🔒	n/a							✓
SG 18	Innovative features of approach to RI	-	n/a							✓
SG 19	Communication	✓	Public		✓				✓	
SG Checks		-	n/a							
SG End	Module confirmation page	✓	-							

Direct - Listed Equity Incorporation				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
LEI 01	Percentage of each incorporation strategy	✓	Public	✓						
LEI 02	Type of ESG information used in investment decision	✓	Private	✓						
LEI 03	Information from engagement and/or voting used in investment decision-making	🔒	n/a	✓						
LEI 04	Types of screening applied	✓	Public	✓						
LEI 05	Processes to ensure screening is based on robust analysis	✓	Public	✓						
LEI 06	Processes to ensure fund criteria are not breached	✓	Private	✓						
LEI 07	Types of sustainability thematic funds/mandates	🔒	n/a	✓						
LEI 08	Review ESG issues while researching companies/sectors	✓	Public	✓						
LEI 09	Processes to ensure integration is based on robust analysis	✓	Public	✓						
LEI 10	Aspects of analysis ESG information is integrated into	✓	Public	✓						
LEI 11	ESG issues in index construction	🔒	n/a	✓						
LEI 12	How ESG incorporation has influenced portfolio composition	-	n/a	✓						
LEI 13	Examples of ESG issues that affected your investment view / performance	-	n/a	✓						
LEI Checks		-	n/a							
LEI End	Module confirmation page	✓	-							

Direct - Fixed Income				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
FI 01	Incorporation strategies applied	✓	Public	✓						
FI 02	ESG issues and issuer research	✓	Private	✓						
FI 03	Processes to ensure analysis is robust	✓	Public	✓						
FI 04	Types of screening applied	✓	Public	✓						
FI 05	Examples of ESG factors in screening process	-	n/a	✓						
FI 06	Screening - ensuring criteria are met	✓	Public	✓						
FI 07	Thematic investing - overview	🔒	n/a	✓						
FI 08	Thematic investing - themed bond processes	🔒	n/a	✓						
FI 09	Thematic investing - assessing impact	🔒	n/a	✓						
FI 10	Integration overview	✓	Public	✓						
FI 11	Integration - ESG information in investment processes	✓	Public	✓						
FI 12	Integration - E,S and G issues reviewed	✓	Public	✓						
FI 13	ESG incorporation in passive funds	🔒	n/a	✓						
FI 14	Engagement overview and coverage	🔒	n/a		✓					
FI 15	Engagement method	🔒	n/a	✓	✓					
FI 16	Engagement policy disclosure	🔒	n/a	✓	✓					
FI 17	Financial/ESG performance	✓	Private							✓
FI 18	Examples - ESG incorporation or engagement	-	n/a	✓	✓					
FI End	Module confirmation page	✓	-							

Confidence building measures				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
CM1 01	Assurance, verification, or review	✓	Public							✓
CM1 02	Assurance of last year's PRI data	✓	Public							✓
CM1 03	Other confidence building measures	✓	Public							✓
CM1 04	Assurance of this year's PRI data	✓	Public							✓
CM1 05	External assurance	🔒	n/a							✓
CM1 06	Assurance or internal audit	✓	Public							✓
CM1 07	Internal verification	✓	Public							✓
CM1 01 End	Module confirmation page	✓	-							

PT Batavia Prosperindo Aset Manajemen

Reported Information

Public version

Organisational Overview

PRI disclaimer

This document presents information reported directly by signatories. This information has not been audited by the PRI Secretariat or any other party acting on their behalf. While this information is believed to be reliable, no representations or warranties are made as to the accuracy of the information presented, and no responsibility or liability can be accepted for any error or omission.

Basic information

OO 01

Mandatory

Public

Gateway/Peering

General

OO 01.1

Select the services and funds you offer

Select the services and funds you offer	% of asset under management (AUM) in ranges
Fund management	<input type="radio"/> 0% <input type="radio"/> <10% <input type="radio"/> 10-50% <input checked="" type="radio"/> >50%
Fund of funds, manager of managers, sub-advised products	<input checked="" type="radio"/> 0% <input type="radio"/> <10% <input type="radio"/> 10-50% <input type="radio"/> >50%
Other	<input checked="" type="radio"/> 0% <input type="radio"/> <10% <input type="radio"/> 10-50% <input type="radio"/> >50%
Total 100%	

Further options (may be selected in addition to the above)

- Hedge funds
- Fund of hedge funds

OO 01.2	Additional information. [Optional]
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PT Batavia Prosperindo Aset Manajemen (BPAM) is a investment management company. BPAM provides a variety of high quality products which consist of money market fund, fixed income funds, balanced funds, equity funds, capital protected funds, private equity funds, exchange-traded funds and discretionary funds. Total Asset Under Management (AUM) of BPAM as of 31 December 2018 is IDR 41.67 trillion (US\$ 2.87 billion) and provides asset management services to individuals and institutions, such as pension funds, foundations, and corporations across a diverse range of asset classes. PT Batavia Prosperindo Aset Manajemen (BPAM) is a investment management company. BPAM provides a variety of high quality products which consist of money market fund, fixed income funds, balanced funds, equity funds, capital protected funds, private equity funds, exchange-traded funds and discretionary funds. Total Asset Under Management (AUM) of BPAM as of 31 December 2018 is IDR 41.67 trillion and provides asset management services to individuals and institutions, such as pension funds, foundations, and corporations across a diverse range of asset classes. PT Batavia Prosperindo Aset Manajemen (BPAM) is a investment management company. BPAM provides a variety of high quality products which consist of money market fund, fixed income funds, balanced funds, equity funds, capital protected funds, private equity funds, exchange-traded funds and discretionary funds. Total Asset Under Management (AUM) of BPAM as of 31 December 2018 is IDR 41.67 trillion and provides asset management services to individuals and institutions, such as pension funds, foundations, and corporations across a diverse range of asset classes.

OO 02	Mandatory	Public	Peering	General
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OO 02.1	Select the location of your organisation’s headquarters.
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Indonesia

OO 02.2	Indicate the number of countries in which you have offices (including your headquarters).
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- 1
- 2-5
- 6-10
- >10

OO 02.3	Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).
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FTE	60
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60

OO 02.4	Additional information. [Optional]
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As of 31 December 2019, we have 60 employees in full-time equivalents (FTE).

OO 03	Mandatory	Public	Descriptive	General
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OO 03.1	Indicate whether you have subsidiaries within your organisation that are also PRI signatories in their own right.
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- Yes
- No

OO 03.3	Additional information. [Optional]
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Currently, BPAM has no subsidiaries.

OO 04	Mandatory	Public	Gateway/Peering	General
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OO 04.1	Indicate the year end date for your reporting year.
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30/12/2019

OO 04.2	Indicate your total AUM at the end of your reporting year.
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Include the AUM of subsidiaries, but exclude advisory/execution only assets, and exclude the assets of your PRI signatory subsidiaries that you have chosen not to report on in OO 03.2

	trillions	billions	millions	thousands	hundreds
Total AUM		3	579	136	577
Currency	USD				
Assets in USD		3	579	136	577

Not applicable as we are in the fund-raising process

OO 04.4	Indicate the assets which are subject to an execution and/or advisory approach. Provide this figure based on the end of your reporting year
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Not applicable as we do not have any assets under execution and/or advisory approach

Based on your reporting above, your total AUM is between 1 and 4.99 US\$ billion, and therefore your 2019/20 fee will be £ 4,457. Note that your total AUM is calculated by summing all figures provided in OO 04.2, 04.3, and 04.4.

OO 04.5	Additional information. [Optional]
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As of 30 December 2019, total AUM is USD 3.579 billion or equivalent to IDR 49.91 trillion (using the official Bank of Indonesia FOREX middle rate at 13,945). All BPAM's AUM are internally managed from the Indonesian office.

OO 06	Mandatory	Public	Descriptive	General
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OO 06.1	Select how you would like to disclose your asset class mix.
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as percentage breakdown

	Internally managed (%)	Externally managed (%)
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Listed equity	29.75	0
Fixed income	60.4	0
Private equity	0	0
Property	0	0
Infrastructure	0	0
Commodities	0	0
Hedge funds	0	0
Fund of hedge funds	0	0
Forestry	0	0
Farmland	0	0
Inclusive finance	0	0
Cash	0	0
Money market instruments	9.85	0
Other (1), specify	0	0
Other (2), specify	0	0

as broad ranges

OO 06.2

Publish asset class mix as per attached image [Optional].

OO 06.3

Indicate whether your organisation has any off-balance sheet assets [Optional].

- Yes
 No

OO 06.5

Indicate whether your organisation uses fiduciary managers.

- Yes, we use a fiduciary manager and our response to OO 5.1 is reflective of their management of our assets.
 No, we do not use fiduciary managers.

OO 09

Mandatory

Public

Peering

General

OO 09.1 | Indicate the breakdown of your organisation's AUM by market.

Developed Markets

0

Emerging Markets

100

Frontier Markets

0

Other Markets

0

Total 100%

100%

OO 09.2 | Additional information. [Optional]

As of 30 December 2019, all of our AUM are invested in Indonesia.

Asset class implementation gateway indicators

OO 10	Mandatory	Public	Gateway	General
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OO 10.1 | Select the active ownership activities your organisation implemented in the reporting year.

Listed equity – engagement

- We engage with companies on ESG factors via our staff, collaborations or service providers.
- We do not engage directly and do not require external managers to engage with companies on ESG factors.

OO 10.2 | Indicate why you do not engage and do not require external managers to engage.

The extent of our ESG incorporation is limited to ESG integration in our investment analysis and decision making process. ESG integration across sectors and companies is at its early stage especially in emerging markets like Indonesia, as such we take an arms-length approach in our investee companies while continuously monitoring the ESG market trends.

Listed equity – voting

- We cast our (proxy) votes directly or via dedicated voting providers
- We do not cast our (proxy) votes directly and do not require external managers to vote on our behalf

OO 10.3

Indicate why you do not cast your votes

- Indicate why you do not cast your votes

The extent of our ESG incorporation is limited to ESG integration in our investment analysis and decision making process. ESG integration across sectors and companies is at its early stage especially in emerging markets like Indonesia, as such we take an arms-length approach in our investee companies while continuously monitoring the ESG market trends.

- We do not cast our votes but provide substantive research and voting recommendations to our clients.

Fixed income SSA – engagement

- We engage with SSA bond issuers on ESG factors via our staff, collaborations or service providers.
- We do not engage directly and do not require external managers to engage with SSA bond issuers on ESG factors. Please explain why you do not.

Please explain why you do not engage directly and do not require external managers to engage with companies on ESG factors.

The extent of our ESG incorporation is limited to ESG integration in our investment analysis and decision making process. ESG integration across sectors and companies is at its early stage especially in emerging markets like Indonesia, as such we take an arms-length approach in our investee companies while continuously monitoring the ESG market trends.

Fixed income Corporate (financial) – engagement

- We engage with companies on ESG factors via our staff, collaborations or service providers.
- We do not engage directly and do not require external managers to engage with companies on ESG factors. Please explain why you do not.

Please explain why you do not engage directly and do not require external managers to engage with companies on ESG factors.

The extent of our ESG incorporation is limited to ESG integration in our investment analysis and decision making process. ESG integration across sectors and companies is at its early stage especially in emerging markets like Indonesia, as such we take an arms-length approach in our investee companies while continuously monitoring the ESG market trends.

Fixed income Corporate (non-financial) – engagement

- We engage with companies on ESG factors via our staff, collaborations or service providers.
- We do not engage directly and do not require external managers to engage with companies on ESG factors. Please explain why you do not.

Please explain why you do not engage directly and do not require external managers to engage with companies on ESG factors.

The extent of our ESG incorporation is limited to ESG integration in our investment analysis and decision making process. ESG integration across sectors and companies is at its early stage especially in

emerging markets like Indonesia, as such we take an arms-length approach in our investee companies while continuously monitoring the ESG market trends.

OO 11	Mandatory	Public	Gateway	General
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OO 11.1 Select the internally managed asset classes in which you addressed ESG incorporation into your investment decisions and/or your active ownership practices (during the reporting year).

Listed equity

- We address ESG incorporation.
- We do not do ESG incorporation.

Fixed income - SSA

- We address ESG incorporation.
- We do not do ESG incorporation.

Fixed income - corporate (financial)

- We address ESG incorporation.
- We do not do ESG incorporation.

Fixed income - corporate (non-financial)

- We address ESG incorporation.
- We do not do ESG incorporation.

Money market instruments

- We address ESG incorporation.
- We do not do ESG incorporation.

OO 12	Mandatory	Public	Gateway	General
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OO 12.1 Below are all applicable modules or sections you may report on. Those which are mandatory to report (asset classes representing 10% or more of your AUM) are already ticked and read-only. Those which are voluntary to report on can be opted into by ticking the box.

Core modules

- Organisational Overview
- Strategy and Governance

RI implementation directly or via service providers

Direct - Listed Equity incorporation

- Listed Equity incorporation

Direct - Fixed Income

- Fixed income - SSA
- Fixed income - Corporate (financial)
- Fixed income - Corporate (non-financial)

Closing module

- Closing module

Peering questions

OO LE 01	Mandatory to Report Voluntary to Disclose	Public	Gateway	General
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OO LE 01.1	Provide a breakdown of your internally managed listed equities by passive, active - quantitative (quant), active - fundamental and active - other strategies.
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Percentage of internally managed listed equities

Passive

1.22

Active - quantitative (quant)

0

Active - fundamental and active - other

98.78

Total

100%

OO FI 01	Mandatory to Report Voluntary to Disclose	Public	Gateway	General
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OO FI 01.1	Provide a breakdown of your internally managed fixed income securities by active and passive strategies
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OO FI 01.2 Additional information. [Optional]

The above data as of 30 December 2019.

OO FI 03	Mandatory	Public	Descriptive	General
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Update: this indicator has changed from "Mandatory to report, voluntary to disclose" to "Mandatory". **Your response to this indicator will be published in the Public Transparency Report.** This change is to enable improved analysis and peering.

OO FI 03.1 Indicate the approximate (+/- 5%) breakdown of your SSA investments, by developed markets and emerging markets.

SSA	Developed markets	0
	Emerging markets	100
	Total	100%

OO FI 03.2 Indicate the approximate (+/- 5%) breakdown of your corporate and securitised investments by investment grade or high-yield securities.

Type	Investment grade (+/- 5%)	High-yield (+/- 5%)	Total internally managed
Corporate (financial)	<input checked="" type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input type="radio"/> 0%	<input type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input checked="" type="radio"/> 0%	100%
Corporate (non-financial)	<input checked="" type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input type="radio"/> 0%	<input type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input checked="" type="radio"/> 0%	100%

OO FI 03.3 Additional information. [Optional]

As of 30 December 2019, all of our corporate bonds are investment grade by local rating agency.

If you are invested in private debt and reporting on ratings is not relevant for you, please indicate below

OO FI 03.2 is not applicable as our internally managed fixed income assets are invested only in private debt.

PT Batavia Prosperindo Aset Manajemen

Reported Information

Public version

Strategy and Governance

PRI disclaimer

This document presents information reported directly by signatories. This information has not been audited by the PRI Secretariat or any other party acting on their behalf. While this information is believed to be reliable, no representations or warranties are made as to the accuracy of the information presented, and no responsibility or liability can be accepted for any error or omission.

Investment policy

SG 01

Mandatory

Public

Core Assessed

General

New selection options have been added to this indicator. Please review your prefilled responses carefully.

SG 01.1

Indicate if you have an investment policy that covers your responsible investment approach.

Yes

SG 01.2

Indicate the components/types and coverage of your policy.

Select all that apply

Policy components/types	Coverage by AUM
<input checked="" type="checkbox"/> Policy setting out your overall approach <input checked="" type="checkbox"/> Formalised guidelines on environmental factors <input checked="" type="checkbox"/> Formalised guidelines on social factors <input checked="" type="checkbox"/> Formalised guidelines on corporate governance factors <input type="checkbox"/> Fiduciary (or equivalent) duties <input type="checkbox"/> Asset class-specific RI guidelines <input type="checkbox"/> Sector specific RI guidelines <input checked="" type="checkbox"/> Screening / exclusions policy <input type="checkbox"/> Engagement policy <input type="checkbox"/> (Proxy) voting policy <input type="checkbox"/> Other, specify (1) <input type="checkbox"/> Other, specify(2)	<input checked="" type="radio"/> Applicable policies cover all AUM <input type="radio"/> Applicable policies cover a majority of AUM <input type="radio"/> Applicable policies cover a minority of AUM

SG 01.3

Indicate if the investment policy covers any of the following

- Your organisation's definition of ESG and/or responsible investment and it's relation to investments
- Your investment objectives that take ESG factors/real economy influence into account
- Time horizon of your investment
- Governance structure of organisational ESG responsibilities
- ESG incorporation approaches
- Active ownership approaches
- Reporting
- Climate change
- Understanding and incorporating client / beneficiary sustainability preferences
- Other RI considerations, specify (1)
- Other RI considerations, specify (2)

SG 01.4

Describe your organisation's investment principles and overall investment strategy, interpretation of fiduciary (or equivalent) duties, and how they consider ESG factors and real economy impact.

Our Environmental, Social and Corporate Governance (ESG) policy stems from the growing awareness in world markets of the value in responsible investing and our commitment to work towards global standards. BPAM believes there is a link between ESG factors and the financial performance of listed companies and as such considers ESG criteria throughout our investment management approaches.

ESG integration is an evolving area and BPAM recognizes that challenges remain, especially in emerging markets, in accessing ESG information and quantifying these factors. Our focus is on defining and understanding the ESG risks and opportunities of material consequence across companies, sectors, and time. ESG concerns are factored into investment decision making to meet our primary objective of ensuring long-term value creation for our clients.

Our ESG integrated approach is relevant for the Indonesian Stock Exchange (IDX) publically listed shares and all classes of IDX listed companies, and listed and publicly-offered debt issued by non-listed companies.

We invest in listed companies that demonstrate principles consistent with good practice, ensuring that at a minimum compliance with all relevant laws of Indonesia in respect to ESG actions of the invested company and any listed subsidiary.

SG 01.5

Provide a brief description of the key elements, any variations or exceptions to your investment policy that covers your responsible investment approach. [Optional]

Our Environmental, Social and Corporate Governance (ESG) policy stems from the growing awareness in world markets of the value in responsible investing and our commitment to work towards global standards. BPAM believes there is a link between ESG factors and the financial performance of listed companies and as such considers ESG criteria throughout our investment management approaches.

ESG integration is an evolving area and BPAM recognizes that challenges remain, especially in emerging markets, in accessing ESG information and quantifying these factors. Our focus is on defining and understanding the ESG risks and opportunities of material consequence across companies, sectors, and time. ESG concerns are factored into investment decision making to meet our primary objective of ensuring long-term value creation for our clients.

Our ESG integrated approach is relevant for the Indonesian Stock Exchange (IDX) publically listed shares and all classes of IDX listed companies, and listed and publicly-offered debt issued by non-listed companies.

ESG issues that may impact invested companies, and their listed subsidiaries may include, but are not limited to the following:

Areas of Focus**1) Environmental**

Factors:

- Protection of ecosystems and biodiversity
- Sustainable resource management and pollution prevention
- Reduced greenhouse gas emissions and subsequent climate impact
- Use of hazardous materials

Management measures to increase financial performance:

- Compliance with national laws
- Environment management plan outlining measures to avoid, minimize and mitigate environmental impacts
- Implemented measures that act to lower costs/increase profitability through energy and other natural resource efficiencies

2) Social

Factors - Workplace:

- Diversity and equal opportunities
- Health and safety
- Labour Standards
- Human rights
- Product safety and liability

Management measures to increase financial performance - Workplace:

- Compliance with national laws
- Anti-discrimination policy
- Anti-child and forced labour policy
- Human resources policy
- Compliance with local health and safety laws
- Constructive dialogue with workers associations

Factors - Community:

- Government and community relations
- Land acquisition and economic displacement
- Indigenous rights
- Cultural heritage

Management measures to increase financial performance - Community:

- Compliance with national laws
- Transparency, dialogue and disclosure
- Grievance and conflict resolution mechanisms

3) Corporate Governance

Factors:

- Compliance
- Transparency
- Risk management
- Accounting standards
- Board composition
- Business ethics
- Reporting and disclosure

Management measures to increase financial performance:

- Compliance with Indonesian Stock Exchange recommended standards on good corporate governance
- Functioning board of commissioners and executive management
- Code of conduct policy
- Anti-bribery policy

As signatories of the UN Principles for Responsible Investment (PRI), we adhere to the following the six principles:

- Principle 1: We will incorporate ESG issues into investment analysis and decision-making processes;
- Principle 2: We will be active owners and incorporate ESG issues into our ownership policies and practices;
- Principle 3: We will seek appropriate disclosure on ESG issues by the entities in which we invest;
- Principle 4: We will promote acceptance and implementation of the Principles within the investment industry;
- Principle 5: We will work together to enhance our effectiveness in implementing the Principles;
- Principle 6: We will each report on our activities and progress towards implementing the Principles.

We invest in listed companies that demonstrate principles consistent with good practice, ensuring that at a minimum compliance with all relevant laws of Indonesia in respect to Environment, Social, and Governance actions of the invested company and any listed subsidiary.

We do not invest in companies and sectors that have a demonstrate-able negative Environment, Social and Governance impact and, or risks, and, in doing so recognizes the material benefits in screening, monitoring and reporting on its active investments.

No

I confirm I have read and understood the Accountability tab for SG 01

I confirm I have read and understood the Accountability tab for SG 01

SG 02	Mandatory	Public	Core Assessed	PRI 6
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New selection options have been added to this indicator. Please review your prefilled responses carefully.

SG 02.1

Indicate which of your investment policy documents (if any) are publicly available. Provide a URL and an attachment of the document.

Policy setting out your overall approach

URL/Attachment

URL

URL

{hyperlink:<http://www.bpam.co.id/EN/index-csr.php>}

Attachment (will be made public)

Attachment

[File 1:BPAM ESG Policy Feb 2015 \(English\).pdf](#)

Formalised guidelines on environmental factors

URL/Attachment

URL

URL

{hyperlink:<http://www.bpam.co.id/EN/index-csr.php>}

Attachment (will be made public)

Formalised guidelines on social factors

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment (will be made public)

Formalised guidelines on corporate governance factors

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment (will be made public)

Screening / exclusions policy

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment (will be made public)

We do not publicly disclose our investment policy documents

SG 02.2 Indicate if any of your investment policy components are publicly available. Provide URL and an attachment of the document.

Your organisation's definition of ESG and/or responsible investment and it's relation to investments

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment

Your investment objectives that take ESG factors/real economy influence into account

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment

Time horizon of your investment

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment

Governance structure of organisational ESG responsibilities

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment

ESG incorporation approaches

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment

Reporting

URL/Attachment

URL

URL

{[hyperlink:http://www.bpam.co.id/EN/index-csr.php](http://www.bpam.co.id/EN/index-csr.php)}

Attachment

We do not publicly disclose any investment policy components

SG 03	Mandatory	Public	Core Assessed	General
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SG 03.1

Indicate if your organisation has a policy on managing potential conflicts of interest in the investment process.

Yes

SG 03.2

Describe your policy on managing potential conflicts of interest in the investment process.

Believing in the value of our ESG Policy and incorporating it into our investment process, we will put continuous effort in educating our clients of the benefits of our ESG Policy in delivering incremental performance across our portfolios. As ESG Policy is a relatively new concept in Indonesia, it is our responsibility to create ESG awareness among our Indonesian investors, both existing and potential investors, and allow them to better understand that in the mid to long term, they are the ultimate beneficiaries in adopting a responsible investment principle under our ESG Policy.

No

Objectives and strategies

SG 05

Mandatory

Public

Gateway/Core Assessed

General

SG 05.1

Indicate if and how frequently your organisation sets and reviews objectives for its responsible investment activities.

- Quarterly or more frequently
- Biannually
- Annually
- Less frequently than annually
- Ad-hoc basis
- It is not set/reviewed

Governance and human resources

SG 07

Mandatory

Public

Core Assessed

General

SG 07.1

Indicate the internal and/or external roles used by your organisation, and indicate for each whether they have oversight and/or implementation responsibilities for responsible investment.

Roles

- Board members or trustees
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment
- Internal Roles (triggers other options)

Select from the below internal roles

- Chief Executive Officer (CEO), Chief Investment Officer (CIO), Chief Operating Officer (COO), Investment Committee
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment
- Other Chief-level staff or head of department, specify
- Portfolio managers
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment
- Investment analysts
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment
- Dedicated responsible investment staff
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment
- Investor relations
- Other role, specify (1)
- Other role, specify (2)
- External managers or service providers

SG 07.2

For the roles for which you have RI oversight/accountability or implementation responsibilities, indicate how you execute these responsibilities.

In implementing and incorporating our ESG Policy in our research and investment activities, we screen and invest in listed companies that demonstrate principles consistent with good practice, ensuring minimum compliance with all relevant laws of Indonesia in respect to environment, social and corporate governance actions of the invested company and any listed subsidiary. Such companies are included in our investment universe. We exclude and refrain from investing in companies and sectors that have demonstrate-able negative environment, social and corporate governance impacts and/or risks.

SG 07.3

Indicate the number of dedicated responsible investment staff your organisation has.

Number

SG 07.4

Additional information. [Optional]

On a daily basis, the Compliance, Risk Management & AML/CTF unit monitors and controls all investment activities, including but not limited to ensuring adherence to the investment universe which has passed the ESG screening process and approved by the Investment Committee.

In addition on a monthly basis, the ESG implementation by the Investment Team, the selection and management of our investment universe are reviewed at the Investment Committee meetings.

I confirm I have read and understood the Accountability tab for SG 07

I confirm I have read and understood the Accountability tab for SG 07

Promoting responsible investment

SG 09

Mandatory

Public

Core Assessed

PRI 4,5

SG 09.1

Select the collaborative organisation and/or initiatives of which your organisation is a member or in which it participated during the reporting year, and the role you played.

Select all that apply

Principles for Responsible Investment

Your organisation's role in the initiative during the reporting period (see definitions)

- Basic
- Moderate
- Advanced
- Asian Corporate Governance Association
- Australian Council of Superannuation Investors
- AVCA: Sustainability Committee
- France Invest – La Commission ESG
- BVCA – Responsible Investment Advisory Board
- CDP Climate Change
- CDP Forests
- CDP Water
- CFA Institute Centre for Financial Market Integrity
- Climate Action 100+
- Code for Responsible Investment in SA (CRISA)
- Council of Institutional Investors (CII)
- Eumedion
- Extractive Industries Transparency Initiative (EITI)
- ESG Research Australia
- Invest Europe Responsible Investment Roundtable
- Global Investors Governance Network (GIGN)
- Global Impact Investing Network (GIIN)
- Global Real Estate Sustainability Benchmark (GRESB)
- Green Bond Principles
- HKVCA: ESG Committee
- Institutional Investors Group on Climate Change (IIGCC)
- Interfaith Center on Corporate Responsibility (ICCR)
- International Corporate Governance Network (ICGN)
- Investor Group on Climate Change, Australia/New Zealand (IGCC)
- International Integrated Reporting Council (IIRC)
- Investor Network on Climate Risk (INCR)/CERES
- Local Authority Pension Fund Forum
- Principles for Financial Action in the 21st Century
- Principles for Sustainable Insurance
- Regional or National Social Investment Forums (e.g. UKSIF, Eurosif, ASRIA, RIAA), specify
- Responsible Finance Principles in Inclusive Finance
- Shareholder Association for Research and Education (Share)
- United Nations Environmental Program Finance Initiative (UNEP FI)
- United Nations Global Compact
- Other collaborative organisation/initiative, specify
- Other collaborative organisation/initiative, specify

- Other collaborative organisation/initiative, specify
- Other collaborative organisation/initiative, specify

SG 10	Mandatory	Public	Core Assessed	PRI 4
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SG 10.1	Indicate if your organisation promotes responsible investment, independently of collaborative initiatives.
---------	--

- Yes
- No

SG 10.3	Describe any additional actions and initiatives that your organisation has taken part in during the reporting year to promote responsible investment [Optional]
---------	---

As part of our commitment to our ESG Policy and to work towards global standards, we provide our investors/clients a holistic understanding of our ESG investment and research process at monthly and/or ad-hoc meetings to communicate our progress in implementing responsible investment principles and establishing a strategic differentiation relative to our peers.

Outsourcing to fiduciary managers and investment consultants

SG 12	Mandatory	Public	Core Assessed	PRI 4
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New selection options have been added to this indicator. Please review your prefilled responses carefully.

SG 12.1	Indicate whether your organisation uses investment consultants.
---------	---

- Yes, we use investment consultants
- No, we do not use investment consultants.

ESG issues in asset allocation

SG 13	Mandatory	Public	Descriptive	PRI 1
-------	-----------	--------	-------------	-------

SG 13.1	Indicate whether the organisation carries out scenario analysis and/or modelling, and if it does, provide a description of the scenario analysis (by asset class, sector, strategic asset allocation, etc.).
---------	--

- Yes, in order to assess future ESG factors
- Yes, in order to assess future climate-related risks and opportunities
- No, our organisation does not currently carry out scenario analysis and/or modelling

Communication

SG 19	Mandatory	Public	Core Assessed	PRI 2, 6
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SG 19.1

Indicate whether your organisation typically discloses asset class specific information proactively. Select the frequency of the disclosure to clients/beneficiaries and the public, and provide a URL to the public information.

Caution! The order in which asset classes are presented below has been updated in the online tool to match the Reporting Framework overview.

If you are transferring data from an offline document, please check your response carefully.

Listed equity - Incorporation

Do you disclose?

- We do not proactively disclose it to the public and/or clients/beneficiaries
- We disclose to clients/beneficiaries only.
- We disclose it publicly

The information disclosed to clients/beneficiaries is the same

- Yes
- No

Disclosure to public and URL	
Disclosure to public and URL	
<input checked="" type="radio"/> Broad approach to ESG incorporation	
<input type="radio"/> Detailed explanation of ESG incorporation strategy used	
Frequency	
<input type="checkbox"/> Quarterly or more frequently	
<input type="checkbox"/> Biannually	
<input checked="" type="checkbox"/> Annually	
<input type="checkbox"/> Less frequently than annually	
<input type="checkbox"/> Ad-hoc/when requested	
URL	
{hyperlink:http://www.bpam.co.id/EN/index-csr.php}	

Fixed income

Do you disclose?

- We do not disclose to either clients/beneficiaries or the public.
- We disclose to clients/beneficiaries only.
- We disclose to the public

The information disclosed to clients/beneficiaries is the same

- Yes
- No

Disclosure to public and URL	
Disclosure to public and URL	
<input checked="" type="radio"/> Broad approach to RI incorporation <input type="radio"/> Detailed explanation of RI incorporation strategy used	
Frequency	
<input type="checkbox"/> Quarterly <input type="checkbox"/> Biannually <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Less frequently than annually <input type="checkbox"/> Ad hoc/when requested	
URL	
{hyperlink:http://www.bpam.co.id/EN/index-csr.php}	

PT Batavia Prosperindo Aset Manajemen

Reported Information

Public version

Direct - Listed Equity Incorporation

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ESG incorporation in actively managed listed equities


Implementation processes

LEI 01	Mandatory	Public	Gateway	PRI 1
--------	-----------	--------	---------	-------

LEI 01.1	Indicate which ESG incorporation strategy and/or combination of strategies you apply to your actively managed listed equities; and the breakdown of your actively managed listed equities by strategy or combination of strategies.
----------	---

ESG incorporation strategy (select all that apply)

- Screening alone (i.e., not combined with any other strategies)
- Thematic alone (i.e., not combined with any other strategies)
- Integration alone (i.e., not combined with any other strategies)
- Screening and integration strategies

Percentage of active listed equity to which the strategy is applied — you may estimate +/- 5%	 <p>100</p>
---	--

- Thematic and integration strategies
- Screening and thematic strategies
- All three strategies combined
- We do not apply incorporation strategies

Total actively managed listed equities
--

100%

LEI 01.2	Describe your organisation's approach to ESG incorporation and the reasons for choosing the particular strategy/strategies.
----------	---

Our Environmental, Social and Corporate Governance (ESG) policy stems from the growing awareness in world markets of the value in responsible investing and our commitment to work towards global standards. We believe there is a link between ESG factors and the financial performance of listed companies and as such considers ESG criteria throughout our investment management approaches.

We integrate ESG factors in selection and management of our stock universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

Our exclusion list defines the sectors/companies in which we will not invest. The exclusion list is applicable to all classes of IDX publically listed shares and all classes of IDX listed companies issued debt.

ESG analysis will initially be addressed when decisions are made on our stock universe. On-going monitoring and engagement, through voting, on companies will be conducted to ensure ESG risks and opportunities haven't changed over time.

(A) Implementation: Screening

LEI 04	Mandatory	Public	Descriptive	PRI 1
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LEI 04.1

Indicate and describe the type of screening you apply to your internally managed active listed equities.

Type of screening

- Negative/exclusionary screening

Screened by

- Product
 Activity
 Sector
 Country/geographic region
 Environmental and social practices and performance
 Corporate governance

Description

We integrate ESG factors in selection and management of our investment universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

- Positive/best-in-class screening
 Norms-based screening

LEI 04.2

Describe how you notify clients and/or beneficiaries when changes are made to your screening criteria.

We integrate ESG factors in selection and management of our investment universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

Our exclusion list defines the sectors/companies in which we will not invest. The exclusion list is applicable to all classes of IDX publically listed shares and all classes of IDX listed companies issued debt.

We have identified sectors/activities that are considered high risk for environmental, social or corporate governance reasons and requires companies operating in these areas to be assessed for their ESG practices. The high-risk sectors by factor are the following:

1) Environmental

Sectors engaged in:

- Construction of dams or reservoirs
- Forestry/Logging,
- Agro-industries
- Industrial plants

- Industrial and residential property development
- Extractive industries, and supporting infrastructure
- Port, harbor and sea defense construction
- Infrastructure projects (roads/railways)
- Power station construction (including thermal, and hydro)
- Manufacture, use, or disposal of large quantities of pesticides and herbicides
- Manufacture, transportation and use of hazardous and/or toxic chemicals
- Domestic and hazardous waste disposal operations
- Cement manufacture Municipal waste water treatment plants, and solid waste processing plants
- Institutional lenders[1]

2) Social

Sectors engaged in:

- Construction of dams or reservoirs
- Agro-industries
- Industrial operations/manufacturing
- Extractive industries
- Human resettlement with impacts on local communities
- Industries that affect customary/tribal populations/lands
- Manufacture, use or disposal of environmentally significant quantities of pesticides and herbicides
- Manufacture, transportation and use of hazardous and/or toxic materials
- Domestic and hazardous waste disposal
- Any projects which pose serious health and safety risks
- Any projects which pose serious socio-economic concerns
- Production or activities that impinge on the lands owned, or claimed under adjudication as Adat Lands, by local communities, and or subject to ongoing significant conflict without full documented consent of such peoples
- Institutional lenders

3) Corporate Governance

Sectors engaged in:

- Contracts, including with public sector entities or the government, such as: Construction, Public works, Real estate and property development, Oil and gas, and/or Mining
- Companies with significant state ownership interests
- Privatizations; and/or investments which involve Politically Exposed Persons (PEPs)
- Institutional lenders

** The Indonesia Corporate Governance Manual. 1st Edition 2014, as issued by the Otoritas Jasa Keuangan (OJK) Indonesia.

Due diligence questions used to assess the viability of high risk investments are broad based and dependent on each particular context. At a minimum we check for the following:

- Non-compliance with applicable local laws and International conventions for which Indonesia is a signatory;
- Overarching policy noting commitment to improvements with respect to management of the Environment, Social factors and Governance; and
- Have identified management strategies which address ESG risks and realize ESG opportunities as part of the company's policy.

ESG analysis will initially be addressed when decisions are made on our investment universe. On-going monitoring and engagement, through voting, on companies will be conducted to ensure ESG risks and opportunities haven't changed over time.

Notes: [1] Institutional lenders shall have lending policies evaluated to determine if Environment, Social and or Governance matters are integrated in to risk management for loan portfolio management, and origination.

LEI 05	Mandatory	Public	Core Assessed	PRI 1
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LEI 05.1

Indicate which processes your organisation uses to ensure ESG screening is based on robust analysis.

- Comprehensive ESG research is undertaken or sourced to determine companies' activities and products.
- Companies are given the opportunity by you or your research provider to review ESG research on them and correct inaccuracies.
- External research and data used to identify companies to be excluded/included is subject to internal audit by ESG/RI staff, the internal audit function or similar.
- Third-party ESG ratings are updated regularly to ensure that portfolio holdings comply with fund policies.
- Trading platforms blocking / restricting flagged securities on the black list.
- A committee, body or similar with representatives independent of the individuals who conduct company research reviews some or all screening decisions.
- A periodic review of internal research is carried out.
- Review and evaluation of external research providers.
- Other; specify
- None of the above

LEI 05.2

Indicate the proportion of your actively managed listed equity portfolio that is subject to comprehensive ESG research as part your ESG screening strategy.

- <10%
- 10-50%
- 51-90%
- >90%

LEI 05.4




Indicate how frequently you review internal research that builds your ESG screens.

- Quarterly or more frequently
- Bi-Annually
- Annually
- Less frequently than annually

(C) Implementation: Integration of ESG factors

LEI 08**Mandatory****Public****Core Assessed****PRI 1****LEI 08.1**

Indicate the proportion of actively managed listed equity portfolios where E, S and G factors are systematically researched as part of your investment analysis.

ESG issues	Proportion impacted by analysis
Environmental	 <p>Environmental</p> <p> <input type="radio"/> <10% <input type="radio"/> 10-50% <input type="radio"/> 51-90% <input checked="" type="radio"/> >90% </p>
Social	 <p>Social</p> <p> <input type="radio"/> <10% <input type="radio"/> 10-50% <input type="radio"/> 51-90% <input checked="" type="radio"/> >90% </p>
Corporate Governance	 <p>Corporate Governance</p> <p> <input type="radio"/> <10% <input type="radio"/> 10-50% <input type="radio"/> 51-90% <input checked="" type="radio"/> >90% </p>

LEI 09	Mandatory	Public	Core Assessed	PRI 1
--------	-----------	--------	---------------	-------

LEI 09.1 Indicate which processes your organisation uses to ensure ESG integration is based on robust analysis.

- Comprehensive ESG research is undertaken or sourced to determine companies' activities and products
- Companies are given the opportunity by you or your research provider to review ESG research on them and correct inaccuracies
- Third-party ESG ratings are updated regularly
- A periodic review of the internal research is carried out
- Structured, regular ESG specific meetings between responsible investment staff and the fund manager or within the investments team
- ESG risk profile of a portfolio against benchmark
- Analysis of the impact of ESG factors on investment risk and return performance
- Other; specify
- None of the above

LEI 09.2

Indicate the proportion of your actively managed listed equity portfolio that is subject to comprehensive ESG research as part your integration strategy.

- <10%
- 10-50%
- 51-90%
- >90%

LEI 09.4

Indicate how frequently you review internal research that builds your ESG integration strategy.

- Quarterly or more frequently
- Bi-Annually
- Annually
- Less frequently than annually

LEI 09.5

Describe how ESG information is held and used by your portfolio managers.

- ESG information is held within centralised databases or tools, and it is accessible by all relevant staff
- ESG information or analysis is a standard section or aspect of all company research notes or industry/sector analysis generated by investment staff
- Systematic records are kept that capture how ESG information and research were incorporated into investment decisions
- Other; specify
- None of the above

LEI 10**Mandatory to Report Voluntary to Disclose****Public****Core Assessed****PRI 1**

New selection options have been added to this indicator. Please review your prefilled responses carefully.

LEI 10.1

Indicate which aspects of investment analysis you integrate material ESG information into.

- Economic analysis
- Industry analysis

Proportion of actively managed listed equity exposed to investment analysis

- <10%
- 10-50%
- 51-90%
- >90%
- Quality of management

Proportion of actively managed listed equity exposed to investment analysis

- <10%
- 10-50%
- 51-90%
- >90%

Analysis of company strategy

Proportion of actively managed listed equity exposed to investment analysis

- <10%
- 10-50%
- 51-90%
- >90%

Portfolio weighting

Proportion of actively managed listed equity exposed to investment analysis

- <10%
- 10-50%
- 51-90%
- >90%

Security sensitivity and/or scenario analysis

Fair value/fundamental analysis

Proportion of actively managed listed equity exposed to investment analysis

- <10%
- 10-50%
- 51-90%
- >90%

Other; specify

LEI 10.2

Indicate which methods are part of your process to integrate ESG information into fair value/fundamental analysis.

- Adjustments to forecasted company financials (sales, operating costs, earnings, cash flows)
- Adjustments to valuation-model variables (discount rates, terminal value, perpetuity growth rates)
- Valuation multiples
- Other adjustments; specify

We allocate and evaluate higher/lower valuation multiple on each company.

LEI 10.3

Describe how you integrate ESG information into portfolio weighting.

ESG analysis will initially be addressed when decisions are made on our investment universe. Therefore, our portfolio managers are only allowed to construct the portfolios around this investment universe.

LEI 10.4

Describe the methods you have used to adjust the income forecast/valuation tool.

We appreciate companies that have better ESG compliance by giving higher valuation multiple.

LEI 10.6

Additional information. [OPTIONAL]

We have our macro indicator estimates (inflation, currency, GDP growth, interest rate, forex reserve) as fundamental benchmark to assess current market risk. Any significant movement of the macro indicators from their fundamentals becomes a trigger for Portfolio Managers to conduct sector and portfolio rebalancing.

In addition, on a daily basis we monitor any movement in commodity price, currency, NDF, Indonesian ETF movement, CDS, etc. We also monitor valuation matrix on weekly basis for all stocks in our universe.

We do stress test and/or scenario analysis on currency and oil price movement.

PT Batavia Prosperindo Aset Manajemen

Reported Information

Public version

Direct - Fixed Income

PRI disclaimer





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ESG incorporation in actively managed fixed income

Implementation processes

FI 01	Mandatory	Public	Gateway	PRI 1
FI 01.1	Indicate (1) Which ESG incorporation strategy and/or combination of strategies you apply to your actively managed fixed income investments; and (2) The proportion (+/- 5%) of your total actively managed fixed income investments each strategy applies to.			

SSA	<table border="1"> <thead> <tr> <th>Strategy</th> <th>Percentage</th> </tr> </thead> <tbody> <tr> <td>Screening alone</td> <td>0</td> </tr> <tr> <td>Thematic alone</td> <td>0</td> </tr> <tr> <td>Integration alone</td> <td>0</td> </tr> <tr> <td>Screening + integration strategies</td> <td>100</td> </tr> <tr> <td>Thematic + integration strategies</td> <td>0</td> </tr> <tr> <td>Screening + thematic strategies</td> <td>0</td> </tr> <tr> <td>All three strategies combined</td> <td>0</td> </tr> <tr> <td>No incorporation strategies applied</td> <td>0</td> </tr> </tbody> </table>	Strategy	Percentage	Screening alone	0	Thematic alone	0	Integration alone	0	Screening + integration strategies	100	Thematic + integration strategies	0	Screening + thematic strategies	0	All three strategies combined	0	No incorporation strategies applied	0
Strategy	Percentage																		
Screening alone	0																		
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All three strategies combined	0																		
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Corporate (financial)	<table border="1"> <thead> <tr> <th>Strategy</th> <th>Percentage</th> </tr> </thead> <tbody> <tr> <td>Screening alone</td> <td>0</td> </tr> <tr> <td>Thematic alone</td> <td>0</td> </tr> <tr> <td>Integration alone</td> <td>0</td> </tr> <tr> <td>Screening + integration strategies</td> <td>100</td> </tr> </tbody> </table>	Strategy	Percentage	Screening alone	0	Thematic alone	0	Integration alone	0	Screening + integration strategies	100								
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Thematic alone	0																		
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Screening + integration strategies	100																		

	 <p>Thematic + integration strategies</p> <p>0</p>
	 <p>Screening + thematic strategies</p> <p>0</p>
	 <p>All three strategies combined</p> <p>0</p>
	 <p>No incorporation strategies applied</p> <p>0</p>
	<p>100%</p>

Corporate (non-financial)	Screening alone	0
	Thematic alone	0
	Integration alone	0
	Screening + integration strategies	100
	Thematic + integration strategies	0
	Screening + thematic strategies	0
	All three strategies combined	0
	No incorporation strategies applied	0
	100%	

FI 01.2 Describe your reasons for choosing a particular ESG incorporation strategy and how combinations of strategies are used.

Our Environmental, Social and Corporate Governance (ESG) policy stems from the growing awareness in world markets of the value in responsible investing and our commitment to work towards global standards. We believe there is a link between ESG factors and the financial performance of listed companies and as such considers ESG criteria throughout our investment management approaches.

We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

Our exclusion list defines the sectors/companies in which we will not invest. The exclusion list is applicable to all classes of IDX listed companies issued debt and publicly-offered debt issued by non-listed companies.

ESG analysis will initially be addressed when decisions are made on our bond universe. On-going monitoring and engagement, through voting, on companies will be conducted to ensure ESG risks and opportunities haven't changed over time.

FI 03	Mandatory	Public	Additional Assessed	PRI 1
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FI 03.1	Indicate how you ensure that your ESG research process is robust:
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- Comprehensive ESG research is undertaken internally to determine companies' activities; and products and/or services
- Issuers are given the opportunity by you or your research provider to review ESG research on them and correct inaccuracies
- Issuer information and/or ESG ratings are updated regularly to ensure ESG research is accurate
- Internal audits and regular reviews of ESG research are undertaken in a systematic way.
- A materiality/sustainability framework is created and regularly updated that includes all the key ESG risks and opportunities for each sector/country.
- Other, specify
- None of the above

FI 03.2	Describe how your ESG information or analysis is shared among your investment team.
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- ESG information is held within a centralised database and is accessible to all investment staff
- ESG information is displayed on front office research platforms
- ESG information is a standard item on all individual issuer summaries, research notes, 'tear sheets', or similar documents
- Investment staff are required to discuss ESG information on issuers as a standard item during investment committee meetings
- Records capture how ESG information and research was incorporated into investment decisions
- Other, specify
- None of the above

(A) Implementation: Screening				
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FI 04	Mandatory	Public	Gateway	PRI 1
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FI 04.1	Indicate the type of screening you conduct.
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Select all that apply

	SSA	Corporate (financial)	Corporate (non-financial)	
Negative/exclusionary screening	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Positive/best-in-class screening	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Norms-based screening	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

FI 04.2	Describe your approach to screening for internally managed active fixed income
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We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

Our exclusion list defines the sectors/companies in which we will not invest. The exclusion list is applicable to all classes of IDX listed companies issued debt and publicly-offered debt issued by non-listed companies.

FI 06	Mandatory	Public	Core Assessed	PRI 1
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FI 06.1	Indicate which systems your organisation has to ensure that fund screening criteria are not breached in fixed income investments.
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Type of screening	Checks
Negative/exclusionary screening	<input checked="" type="checkbox"/> Analysis is performed to ensure that issuers meet screening criteria <input checked="" type="checkbox"/> We ensure that data used for the screening criteria is updated at least once a year. <input checked="" type="checkbox"/> Automated IT systems prevent our portfolio managers from investing in excluded issuers or bonds that do not meet screening criteria <input checked="" type="checkbox"/> Audits of fund holdings are undertaken yearly by internal audit or compliance functions <input type="checkbox"/> Other, specify <input type="checkbox"/> None of the above

(C) Implementation: Integration				
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FI 10	Mandatory	Public	Descriptive	PRI 1
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FI 10.1	Describe your approach to integrating ESG into traditional financial analysis.
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We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

Our exclusion list defines the sectors/companies in which we will not invest. The exclusion list is applicable to all classes of IDX listed companies issued debt and publicly-offered debt issued by non-listed companies.

FI 10.2	Describe how your ESG integration approach is adapted to each of the different types of fixed income you invest in.
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SSA

We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of publically-offered debt issued by the Indonesian Government. ESG monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors is conducted on a continuous basis to ensure ESG risks have not changed over time.

Corporate (financial)

We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

Our exclusion list defines the sectors/companies in which we will not invest. The exclusion list is applicable to all classes of IDX listed companies issued debt and publicly-offered debt issued by non-listed companies.

Corporate (non-financial)

We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

Our exclusion list defines the sectors/companies in which we will not invest. The exclusion list is applicable to all classes of IDX listed companies issued debt and publicly-offered debt issued by non-listed companies.

FI 11	Mandatory	Public	Core Assessed	PRI 1
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FI 11.1	Indicate how ESG information is typically used as part of your investment process.
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Select all that apply

	SSA	Corporate (financial)	Corporate (non-financial)	
ESG analysis is integrated into fundamental analysis	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
ESG analysis is used to adjust the internal credit assessments of issuers.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
ESG analysis is used to adjust forecasted financials and future cash flow estimates.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
ESG analysis impacts the ranking of an issuer relative to a chosen peer group.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
An issuer's ESG bond spreads and its relative value versus its sector peers are analysed to find out if all risks are priced in.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
The impact of ESG analysis on bonds of an issuer with different durations/maturities are analysed.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Sensitivity analysis and scenario analysis are applied to valuation models to compare the difference between base-case and ESG-integrated security valuation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
ESG analysis is integrated into portfolio weighting decisions.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Companies, sectors, countries and currency and monitored for changes in ESG exposure and for breaches of risk limits.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
The ESG profile of portfolios is examined for securities with high ESG risks and assessed relative to the ESG profile of a benchmark.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Other, specify in Additional Information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

FI 12	Mandatory	Public	Additional Assessed	PRI 1
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FI 12.1	Indicate the extent to which ESG issues are reviewed in your integration process.
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	Environment	Social	Governance
SSA	<input checked="" type="radio"/> Environmental <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all	<input checked="" type="radio"/> Social <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all	<input checked="" type="radio"/> Governance <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all
Corporate (financial)	<input checked="" type="radio"/> Environmental <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all	<input checked="" type="radio"/> Social <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all	<input checked="" type="radio"/> Governance <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all
Corporate (non-financial)	<input checked="" type="radio"/> Environmental <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all	<input checked="" type="radio"/> Social <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all	<input checked="" type="radio"/> Governance <input type="radio"/> Systematically <input type="radio"/> Occasionally <input type="radio"/> Not at all

FI 12.2 Please provide more detail on how you review E, S and/or G factors in your integration process.

SSA

We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of publicly-offered debt issued by the Indonesian Government. ESG monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors is conducted on a continuous basis to ensure ESG risks have not changed over time.

Corporate (financial)

We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

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Corporate (non-financial)

We integrate ESG factors in selection and management of our bond universe. Our approach includes screening of companies operating in sectors on our exclusions list and for companies operating in sectors we have determined to be high risk. High-risk companies are then evaluated to identify any additional monitoring requirements/needs through a series of due diligence questions covering Environmental, Social and corporate Governance factors.

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PT Batavia Prosperindo Aset Manajemen

Reported Information

Public version

Confidence building measures

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Confidence building measures

CM1 01	Mandatory	Public	Additional Assessed	General
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CM1 01.1 Indicate whether the reported information you have provided for your PRI Transparency Report this year has undergone:

- Third party assurance over selected responses from this year's PRI Transparency Report
- Third party assurance over data points from other sources that have subsequently been used in your PRI responses this year
- Third party assurance or audit of the correct implementation of RI processes (that have been reported to the PRI this year)
- Internal audit of the correct implementation of RI processes and/or accuracy of RI data (that have been reported to the PRI this year)
- Internal verification of responses before submission to the PRI (e.g. by the CEO or the board)
 - Whole PRI Transparency Report has been internally verified
 - Selected data has been internally verified
- Other, specify
- None of the above

CM1 02	Mandatory	Public	Descriptive	General
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CM1 02.1 We undertook third party assurance on last year's PRI Transparency Report

- Whole PRI Transparency Report was assured last year
- Selected data was assured in last year's PRI Transparency Report
- We did not assure last year's PRI Transparency report
- None of the above, we were in our preparation year and did not report last year.

CM1 03	Mandatory	Public	Descriptive	General
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CM1 03.1 We undertake confidence building measures that are unspecific to the data contained in our PRI Transparency Report:

- We adhere to an RI certification or labelling scheme
- We carry out independent/third party assurance over a whole public report (such as a sustainability report) extracts of which are included in this year's PRI Transparency Report
- ESG audit of holdings
- Other, specify
- None of the above

CM1 04	Mandatory	Public	Descriptive	General
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CM1 04.1 Do you plan to conduct third party assurance of this year's PRI Transparency report?

- Whole PRI Transparency Report will be assured
- Selected data will be assured
- We do not plan to assure this year's PRI Transparency report

CM1 06	Mandatory	Public	Descriptive	General
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CM1 06.1 Provide details of the third party assurance of RI related processes, and/or details of the internal audit conducted by internal auditors of RI related processes (that have been reported to the PRI this year)

What RI processes have been assured

- Data related to RI activities
- RI policies
- RI related governance
- Integration process in listed assets
- Screening process in listed assets
- Thematic process in listed assets
- Other

Specify

None of above

When was the process assurance completed(dd/ mm/yy)

31/12/2019

Assurance standard used

- IIA's International Standards for the Professional Practice of Internal Auditing
- ISAE 3402
- ISO standard

Specify

ISO 9001:2015

- AAF 01/06
- SSE18
- AT 101 (excluding financial data)
- Other

CM1 07	Mandatory	Public	Descriptive	General
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CM1 07.1

Indicate who has reviewed/verified internally the whole - or selected data of the - PRI Transparency Report . and if this applies to selected data please specify what data was reviewed

Who has conducted the verification

- CEO or other Chief-Level staff
- The Board
- Investment Committee
- Compliance Function
- RI/ESG Team

What data has been verified

- Policies
- Overarching strategy and processes
- Asset class specific processes
- Quantitative data related to RI processes
- Other

Relevant modules

- Organisational Overview
- Strategy and Governance
- Direct - Listed Equity Incorporation
- Direct - Fixed Income
- Investment Teams
- Legal Department
- Other (specify)